PTO/SB/08A (08-03) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Filing Date

Application Number

10/772,840

02-04-2004

Underthe Pape....

Underthe Pape....

Underthe Pape....

Underthe Pape.... Complete if Known

INFORMATION DISCLOSURE

First Named Inventor Michael Hogendijk STATEMENT BY APPLICANT Art Unit 3731 (Use as many sheets as necessary) BUI, VY Q **Examiner Name** Attorney Docket Number NVS-1050 Sheet 11 of 3

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (F known)		<u> </u>	riguies Appear
/VB/		^{US-} 4,553,545	11-19-1985	Maass et al.	
Ī		^{US-} 4,655,771	04-07-1987	Wallsten	
		US- 4,665,918	05-19-1987	Garza et al.	
		US- 4,739,762	04-26-1988	Palmaz	
		US- 4,768,507	09-06-1988	Fischell et al.	
		US- 4,886,062	12-12-1989	Wiktor	
	†	US- 5,019,090	05-28-1991	Pinchuk	
	T	US- 5,147,370	09-15-1992	McNamara et al.	
	-	US- 5,246,445	09-21-1993	Yachia et al.	
		US- 5,314,444	05-24-1994	Gianturco	
	1 -	US- 5,344,426	09-06-1994	Lau et al.	
		US- 5,421,955	06-06-1995	Lau et al.	
		US- 5,423,885	06-13-1995	Williams	
		US- 5,441,515	08-15-1995	Khosravi et al.	
	1	US-5,443,500	08-22-1995	Sigwart	
	1	US- 5,476,505	12-19-1995	Limon	
	T^{-}	US- 5,540,713	07-30-1996	Schnepp-Pesch et al.	
₩	1	US- 5,551,954	09-03-1996	Buscemi et al.	
/VB/	T	US- 5,556,413	09-17-1996	Lam	

		FOREIG	GN PATENT DOCU	IMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	76
		Country Code ³ Number ⁴ Kind Code ⁵ (# known)	MM-DD-YYYY		Or Relevant Figures Appear	L
/VB/		WO0062711	10-26-2000	Smart Therapeutics, Inc.		_
						 _
						┡
						├ -
						↓
			l l	1	1	

~		Date	
Examiner		50.5	
	1 8/ 5 1	Cassidand	
Signature	I /Vv Bui/	Considered	03/19/2007

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.usrto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

PTC/SB/08A (08-03)
Approved for use through 07/31/2008. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

APR 1 8 2005

Sheet 2

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known			
Application Number	10/772,840		
Filing Date	02-04-2004		
First Named Inventor	Michael Hogendijk		
Art Unit	3731		
Examiner Name	BUI, VY Q		
Attorney Docket Number	NVS-1050		

			U. S. PATEN	DOCUMENTS	
Examiner Initials*	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
0.451		Number-Kind Code ² (F known)			Tigures Appear
/VB/		^{US-} 5,607,478	03-04-1997	Lentz et al.	
		^{US-} 5,824,052	10-20-1998	Khosravi et al.	
		US- 5,824,053	10-20-1998	Khosravi et al.	
		^{US-} 6,156,062	12-05-2000	McGuinness	
		US- 6,238,430	05-29-2001	Klumb et al.	
		US- 6,248,122	06-19-2001	Klumb et al.	
1 -		us- 6,423,091	07-23-2002	Hojeibane	
		US- 6,425,915	07-30-2002	Khosravi et al.	
		US- 6,432,128	08-13-2002	Wallace et al.	
		^{US-} 6,503,270	01-07-2003	Richter et al.	
		^{US-} 6,533,805	03-18-2003	Jervis	
		US 6,572,643	06-03-2003	Gharibadeh	
		^{US-} 6,576,006	06-10-2003	Limon et al.	
		US- 6,645,237	11-11-2003	Klumb et al.	
		US- 6,660,032	12-09-2003	Klumb et al.	
		US- 6,736,844	05-18-2004	Glatt et al.	
\/		US- 6,746,475	06-08-2004	Rivelli, Jr.	
V		^{US-} 20020004676	01-10-2002	Wallace, George et al.	
/VB/_		US- 20020095206	07-18-2002	Addonizio, Scott J. et al.	

		FORE	IGN PATENT DOCU	MENIS		_
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	_,
		Country Code ³ "Number ⁴ "Kind Code ⁵ (# known)	MM-DD-YYYY		Or Relevant Figures Appear	Ľ
				ļ		L
						L
						L
						Ĺ
						L
	 				T · · · · · · · · · · · · · · · · · · ·	

		T 6.1	
Examiner		Date	
	l	Considered	03/19/2007
Signature	I My Duif	Considered	03/13/2007

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Name of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application from to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

APR 1 8 2005

Sheet 3

The state of the s

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

of 3

Complete If Known			
Application Number	10/772,840		
Filing Date	02-04-2004		
First Named Inventor	Michael Hogendijk		
Art Unit	3731		
Examiner Name	BUI, VY Q		
Attorney Docket Number	NVS-1050		

			U. S. PATEN	T DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
/VB/		US- 20040034402	02-19-2004	Bales, Thomas et al.	***************************************
/VB/		US- 20040044401	03-04-2004	Bales, Thomas et al.	
NB/		US- 20040172123	09-02-2004	Lootz et al.	
/ V D/-		U\$-			
		US-	1		
		U\$-			
	\Box	US-			
		US-			
		US-	<u> </u>		

			N PATENT DOCU	MENTS		
Examiner Cite Initials* No.1		Foreign Patent Document	oreign Patent Document Publication Date		Pages, Columns, Lines, Where Relevant Passages	
		Country Code ³ Number ⁴ Nind Code ⁵ (# known)	MM-DD-YYYY	Applicant of Cited Document	Or Relevant Figures Appear	I,
<u>.</u>						<u></u>
					<u></u>	
	<u>.</u>		<u> </u>			╙
	ļ				<u> </u>	L
					· ·	

Examiner	A A - Doubt	Date	2011010007
Signature	/Vy Bui/	Considered	03/19/2007

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant. *Applicant's unique citation designation number (optional). *See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. *Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). *For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. *Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. *Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Tredemark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet of 1

Complete if Known		
Application Number Unknown		
Filing Date	February 4, 2004	
First Named Inventor	Michael Hogendijk	
Art Unit	Unknown	
Examiner Name	Unknown	
Attorney Docket Number NVS-1050		

		U.S. PAT	ENT DOCUMENTS	
Examiner Initials	Cite No. 1 Number - Kind Code ² (if known	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
/VB/	US- 6,679,911 B2	01-20-2004	Burgermeister et al.	
	US- 6,656,220 B1	12-02-2003	Gomez et al.	
	US- 6,635,084 B2	10-21-2003	Israel et al.	
	US- 6,607,554 B2	08-19-2003	Dang et al.	
	us- 6,596,021 B1	07-22-2003	Lootz	
	US- 6,589,276 B2	07-08-2003	Pinchasik et al.	
	US- 6,565,600 B2	05-20-2003	Hojeibane	
	US- 6,540,775 B1	04-01-2003	Fischell et al.	
	US- 6,508,834 B1	01-21-2003	Pinchasik et al.	
	US- 6,409,754 B1	06-25-2002	Smith et al.	
	US- 6,348,065 B1	02-19-2002	Brown et al.	
	US- 6,334,870 B1	01-01-2002	Ehr et al.	
	US- 6,331,189 B1	12-18-2001	Wolinsky et al.	
7	US- 6,086,604	07-11-2000	Fischell et al.	
V	US- 5,833,699	11-10-1998	Chuter	
	บร- 5,817,152	10-06-1998	Birdsall et at.	
/VB/	US- 5,104,404	04-14-1992	Wolff	
	US-			
	US-		•	
	US-			

FOREIGN PATENT DOCUMENTS								
Examiner Initials	Cite No. 1	Foreign Patent Document County Code 3 - Number 4 - Kind Code 5 (# Innown)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	71		
						L		
						L		

Examiner	/Vv Bui/	Date	03/19/2007
Signature	7 V y Duii	i Considered I	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English tanguage Translation is attached.